



Atty. Dkt. No. 032026-0769

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Guilherme L. INDIG**

Title: **USE OF CRYSTAL VIOLET AS  
PHOTOCHEMOTHERAPEUTIC  
AGENT**

Appl. No.: **10/751,302**

Filing Date: **01/02/2004**

Examiner: **Rebecca Cook**

Art Unit: **1614**

<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b> I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, Virginia on the date below.
<u>Michelle Manning</u> (Printed Name)
<u><i>Michelle Manning</i></u> (Signature)
<u>November 29, 2005</u> (Date of Deposit)

**TERMINAL DISCLAIMER**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Your Petitioner, Wisconsin Alumni Research Foundation, having its principal place of business at 614 Walnut Street, Madison, WI 53726, represents that it is the owner of the entire right, title, and interest in and to U.S. Patent Application Serial No. 10/751,302, filed 01/02/2004, by virtue of an Assignment filed and recorded on 07/30/2001, on Reel/Frame 012026/0453, in the United States Patent and Trademark Office, a copy of which is attached hereto as APPENDIX A. Further, your Petitioner represents that it is the owner of U.S. Patent No. 6,914,078, filed 02/25/2003, by virtue of an Assignment filed and recorded on 07/14/2003 on Reel/Frame 013796/0277, a copy of which is attached hereto as APPENDIX B.

Your Petitioner, Wisconsin Alumni Research Foundation., hereby disclaims the terminal part of the term of any patent granted on U.S. Patent Application 10/751,302 which would extend beyond the full statutory term, as shortened by any terminal disclaimer, of U.S. Patent No. 6,914,078, and hereby agrees that any patent so granted on U.S. Patent Application

10/751,302 shall be enforceable only for and during such period that the legal title to any patent granted on U.S. Patent No. 6,914,078, this agreement to run with any patent granted on U.S. Patent Application 10/751,302 and to be binding upon the grantee, its successors or assigns.

In making the above disclaimer, Petitioner does not disclaim any terminal part of any patent granted on U.S. Patent Application 10/751,302, prior to the full statutory term of U.S. Patent No. 6,914,078 as defined in 35 U.S.C. §§154-156 and 173, in the event that U.S. Patent No. 6,914,078, expires for failure to pay a maintenance fee, is held unenforceable or is found invalid in a final judgment by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), has all claims canceled by a reexamination certificate or as a result of an interference proceeding, or is otherwise not deemed to provide the rights conveyed by 35 USC §154, prior to the full statutory term of any patent granted on U.S. Patent Application 10/751,302 as defined in 35 USC §§154-156 and 173, except for the separation of legal title stated above. Further, Petitioner does not disclaim any terminal part of a patent granted on U.S. Patent Application 10/751,302 that would extend beyond the present termination of U.S. Patent No. 6,914,078, in the event that such present term is extended by virtue of compliance with the conditions for term extension of any present or future patent term extension provisions of the patent law, including but not limited to 35 U.S.C. §§155, 155A or 156, and without waiving Petitioner's right to extend the term of a patent granted on U.S. Patent Application 10/751,302 to the extent provided by law.

The undersigned, being the Attorney of Record for U.S. Patent Application 10/751,302, and duly authorized to act on behalf of Petitioner, certifies that she has reviewed the Assignments attached as APPENDICES A and B and to the best of her knowledge and belief, legal title to U.S. Patent Application 10/751,302 and U.S. Patent No. 6,914,078 rests with Petitioner, Wisconsin Alumni Research Foundation. The undersigned declares that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under

Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing therefrom.

Respectfully submitted,

Dated: November 29, 2005

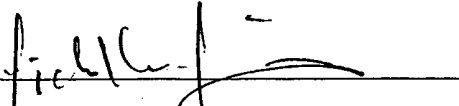
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By

  
Michelle Manning  
Attorney for Applicants  
Registration No. 50,592



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 2006

PTAS  
WISCONSIN ALUMNI RESEARCH FOUNDATION  
KATHY HANNEMAN  
614 WALNUT STREET  
MADISON, WI 53705



\*101798110A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/30/2001

REEL/FRAME: 012026/0453  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

INDIG, GUILHERME L.

DOC DATE: 07/02/2001

ASSIGNEE:

WISCONSIN ALUMNI RESEARCH  
FOUNDATION  
614 WALNUT STREET  
MADISON, WISCONSIN 53705

SERIAL NUMBER: 09753472  
PATENT NUMBER:

FILING DATE: 01/03/2001  
ISSUE DATE:

SEDLEY PYNE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

ASSIGNMENT  
(Sole Inventor)

I am the person identified as the inventor and have contributed to a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following serial number. The application was executed by me, and it was filed on the indicated date:

Title: USE OF CRYSTAL VIOLET AS PHOTOCHEMOTHERAPEUTIC AGENT

Serial Number: 09/753472

Date Filed: 1-3-2001

The assignee under this agreement is hereby authorized to insert the filing date and serial number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the Wisconsin Alumni Research Foundation (hereinafter "WARF") a non-stock, non-profit Wisconsin corporation, and to its legal representatives, successors, and assigns, my entire right, title and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. WARF shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon WARF's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that WARF may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to WARF any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to WARF and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to WARF, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Ref: P01044US

Wisconsin Alumni Research Foundation

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01044US

App.#: 09/753472, Filed: 1-3-2001

Inventor: \_\_\_\_\_

*Guilherme L. Indig*

Date: \_\_\_\_\_

*07/02/01*

Guilherme L. Indig  
6618 Montclair Lane  
Madison, WI 53711

State of Wisconsin    )  
                                  )ss.  
County of Dane        )

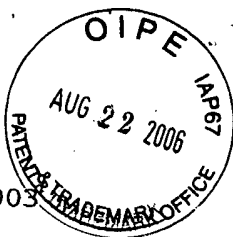
On this *2nd* day of *July*, 2001 before me personally appeared Guilherme L. Indig, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

*Mary E. Cyprien*

Notary Public

My Commission expires: *8/18/02*



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JULY 15, 2003

PTAS

WISCONSIN ALUMNI RESEARCH FOUNDATION  
KATHY HANNEMAN  
614 WALNUT STREET  
MADISON, WI 53726

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RECORDATION DATE: 07/14/2003

REEL/FRAME: 013796/0277  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

INDIG, GUILHERME L.

DOC DATE: 06/09/2003

## ASSIGNEE:

WISCONSIN ALUMNI RESEARCH  
FOUNDATION  
614 WALNUT STREET  
MADISON, WISCONSIN 53726

SERIAL NUMBER: 10374770  
PATENT NUMBER:FILING DATE: 02/25/2003  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

ASSIGNMENT  
(Sole Inventor)

I am the person identified as the inventor and have contributed to a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following serial number. The application was executed by me, and it was filed on the indicated date:

Title: TRIARYLMETHANE DERIVATIVES AND THEIR USE AS  
PHOTOCHEMOTHERAPEUTIC AGENTS

Serial Number: 10/374770

Date Filed: 2-25-2003

The assignee under this agreement is hereby authorized to insert the filing date and serial number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the Wisconsin Alumni Research Foundation (hereinafter "WARF") a non-stock, non-profit Wisconsin corporation, and to its legal representatives, successors, and assigns, my entire right, title and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. WARF shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon WARF's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that WARF may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to WARF any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to WARF and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to WARF, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.



ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P02377US

App.#: 10/374770, Filed: 2-25-2003

Inventor: \_\_\_\_\_

*Guilherme L. Indig*

Date: \_\_\_\_\_

*06/09/03*

Guilherme L. Indig  
6618 Montclair Lane  
Madison, WI 53711

State of Wisconsin )

)ss.

County of Dane )

On this 9<sup>th</sup> day of June, 2003 before me personally appeared Guilherme L. Indig, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

*Mary E. Capener*  
\_\_\_\_\_  
Notary Public

My Commission expires: 8/13/06